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PTO/SB/05 (4/98)  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	
	First Inventor or Application Identifier	MIHURA
	Title	MUSIC SYSTEM
	Express Mail Label No.	

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages <b>33</b> ] <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>8</b> ] 4. Oath or Declaration [Total Pages <b>2</b> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	

**\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

<b>ACCOMPANYING APPLICATION PARTS</b>	
7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 13. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired <small>(PTO/SB/09-12)</small> 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 15. <input type="checkbox"/> Other:	

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>17. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small>			or <input checked="" type="checkbox"/> Correspondence address below		
Name	Bruce Mihura				
Address	6302 Colina Lane				
City	Austin	State	TX	Zip Code	78759
Country	USA	Telephone	512 918-2549	Fax	

Name (Print/Type)	Bruce Mihura	Registration No. (Attorney/Agent)	
Signature	<i>Bruce Mihura</i>	Date	7-24-98

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 1997.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 571

## Complete if Known

Application Number

Filing Date

First Named Inventor

MIHURA

Examiner Name

Group / Art Unit

Attorney Docket No.

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790	201 395	Utility filing fee	395
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 395

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
36	-20** = 16	X 11 =	176
Independent Claims	2 - 3** =	X	
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 22	203 11	Claims in excess of 20
102 82	202 41	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 82	209 41	** Reissue independent claims over original patent
110 22	210 11	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 176

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$

## SUBMITTED BY

Typed or Printed Name Bruce Mihura

Signature Bruce Mihura

Date 7-24-98

## Complete (if applicable)

Reg. Number

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**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant, Patentee, or Identifier: Bruce Mihura

Application or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

Title: MUSIC SYSTEM

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.  
☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27):

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Bruce Mihura  
NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Bruce Mihura  
Signature of inventor

Signature of inventor

Signature of inventor

7-24-98  
Date

Date

Date

## Disclosure

### FIELD OF THE INVENTION

This invention is in the field of consumer electronics, specifically the reproduction of high quality audio recordings, typically music.

### BACKGROUND OF THE INVENTION

High quality sound recordings became available to the consumer with the advent of the Compact Disc, or "CD", which uses digital recording techniques to record the audio information. Earlier systems were predominantly analog, including phonograph records and magnetic tape cassettes, and were plagued with problems of physical media damage such as scratches or warping, as well as sensitivity to dust and dirt in the environment or on the playback system. With the use of laser-based digital recording, as with Compact Discs, many of these problems are avoided.

Although the quality has improved with digital recording of analog audio information, to a large measure the convenience of access to selected sound recordings is much the same as before. An individual CD typically contains no more than two dozen songs, so the user of such systems frequently needs to change media. In the earlier era, this was solved with phonograph records by the use of robotic media changers, such as "jukeboxes", as well as devices that could play a preselected series or "stack" of records.

With the advent of CDs, similar devices have been adopted to physically select a particular CD out of a selection of CD media stored in the device. Small units have 5 or 6 CDs in a cartridge; large units may have dozens or more in a device which physically operates very much like the jukebox of the phonograph record era. U.S. Patent 5,559,776 discloses a disk recording medium playback system where the plurality of disks are stored in a magazine.

The existing media is difficult to customize to the user's desired content. Phonograph records and CDs cannot be erased and re-recorded. Cassette tape recordings can be erased,

but it is impractical to replace songs other than at the end of the recording; furthermore, locating a specific song requires scanning past all prior songs to locate it.

Digital recordings on compact disc require large amounts of data to store the recordings. Digital audio is frequently sampled at 44.1kHz with 16 bits per sample, thus requiring over 700,000 bits per channel per second of recorded audio information. For stereo, this is 1.4 million bits per second. This is one of the reasons that compact discs are limited in their ability to store audio information.

Digital compression techniques are now available which are capable of data reduction by large factors. For example, U.S. Patent 5,579,430 encodes CD-quality data at 2 bits per sample, and FM-radio quality data at 1.5 bits per sample. This corresponds to compression ratios (from 16 bits/sample) of 8:1 and 12:1, respectively. The use of "perceptual coding" which recognizes the acoustic characteristics of the human ear, enables improved quality, or better compression, or both, as illustrated for example in U.S. Patent 5,040,217 and 5,717,764. As judged in professional listening tests in conjunction with the MPEG standards development, the combination of these techniques achieves approximate CD-quality at 1.5 bits per sample.

It is known in the art to utilize compression to reduce the storage requirements on disk. For example, U.S. Patent 5,224,087 discloses the recording of compressed digitized information to an optical disc.

There have been a number of so-called "multimedia" programs built on computer systems. Many of these utilize compression, especially for video. Real-time audio compression has been accomplished by means of a second processor, which may be a digital signal processor. However, these systems require a computer, including keyboard, mouse, monitor, operating system, and the like. Thus, these systems are not effective for the non-computer user, and are not aesthetically and operationally compatible with typical home entertainment complexes. Most home or office computers today do not have a real time operating system, meaning that

any jukebox program that could be written would be susceptible to unexpected pauses of arbitrary duration. In addition, they have not provided an effective “audio jukebox” capability – i.e., the ability to store, index, retrieve and play a large number of audio recordings.

U.S. Patent 5,481,509 discloses a system for use in public areas such as bars and dancehalls. This provides a money-operated computer-based “jukebox” where the audio/visual information is stored on pre-recorded removable hard disks. Two computers are used for the play-only jukebox; these computers may be connected via a network. Real-time inputs are limited to a microphone (for karaoke sing-along) and a video camera. These real-time inputs are not stored on the hard disk, however, but rather are immediately output to the corresponding audio and video output devices or stored on a VCR tape. A third computer, remotely located from the jukeboxes, is in effect the manufacturing system for the pre-recorded removable hard disks. On this third computer, audio inputs are provided for CD, VCR and laser disk. No real-time capture is provided, e.g. from FM radio. It is assumed that CD and VCR inputs are compressed at standard input rates; faster-than-real-time recording is not disclosed. It appears that the key purpose of the removability of the hard disks is to be able to physically remove them from the recording (third) computer at the central location and carry them to the jukebox at the dancehall. Consequently, this system is, in effect, comparable to compact disc except the media is a hard disk (or other similar mass storage device, magnetic or otherwise). Although it eliminates a number of problems for the commercial user, it does not provide a single, compact, easy-to-use, record and playback system for the home entertainment user. In addition, this system provides both audio and video information on the disk; since video information takes vastly more disk space, even when highly compressed, the system will be limited in its capability to store large number of audio recordings on a hard disk. Other features which make this system more suited to commercial use than home or portable use are the integrated money input, the large size, the separate physical components, the video screen and camera, the amplifier, and the speakers. The system provides a sorted list by type of music, but no indexed database access that would be necessary for organizing a large collection of diverse music.

Thus, an object of this invention is to provide an audio entertainment system that provides instant access to a large collection of audio recordings. It is important that the packaging has a size and appearance which approximates a size of standard audio equipment, and the price be no more than a few thousand dollars.

It is an object of the invention to provide so-called multimedia capabilities, but without the user interface, training and support complexities of even the easiest-to-use computer system.

It is an object of the invention to replace a number of devices, each customized for a particular type of media (e.g. phonograph records, cassette tapes, reel-to-reel tape, CDs, FM radio, computerized MPEG files, Internet access) with a single system.

It is an object of the invention to utilize industry standard compressed digital audio recording formats so as to facilitate the transfer of audio recordings. One such format is described in the MPEG standard, ISO 11172-3.

Another object of this invention is to store a large number of audio recordings very efficiently by means of a quality-preserving digital compression system. It is particularly important to preserve audio quality for multi-channel music recordings, usually two to five channels of audio corresponding to a single recording. It is of particular import to preserve quality for two-channel stereo recordings. Multi-channel recordings with more than two channels, such as from DVD, could be used in this invention as well.

An object of the invention is to facilitate the rapid loading of the storage system from a variety of audio input sources.

Another object of the invention is to provide a convenient system for selecting music to be played.

## SUMMARY OF THE INVENTION

This invention provides a system for storing hundreds to thousands of songs on a single audio entertainment system, which provides immediate access to any of the songs in a convenient, easy to operate manner. The system can store audio sound recordings selectively, not just collections of existing media. The system can use any audio source, digital or analog, for the audio content. The system replaces boxes of phonograph records, boxes of cassette tapes, and cases of CDs by storing all audio information in one chassis. The crux of the invention is that audio information is never removed and replaced on a repeated basis; the storage capacity will be sufficient to hold most entire musical collections. The system efficiently stores audio information, using a digital audio compression system that preserves near compact disc quality but achieves a large reduction in the size of the stored digital audio information. The compression system is particularly effective for stereo recordings, i.e. two channels of audio corresponding to the conventional dual microphones used in music recording. Thus, many more songs could be stored on the system at a given time.

The system comprises one or more analog and digital audio input and output ports, a processing unit comprised in the chassis which may utilize an auxiliary digital signal processor, a non-removable, non-volatile random-access storage system such as a magnetic disk, a user interface system, and control software in the processing unit to operate the system. A key concept to this system (although not obvious) is that the storage system is virtually never to be removed, and is substantially permanently affixed inside the chassis, so as to greatly reduce the need to ever handle physical media.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a drawing of the audio entertainment chassis, showing controls and connections on the front panel of the chassis.

FIG. 2 is a drawing of the rear panel of the chassis, showing the several interface connections.

FIG. 3 is a schematic drawing of the hardware components of the audio entertainment system.



FIG. 4 is one possible physical layout of the major processing elements internal to the chassis of the audio entertainment system.

FIG. 5 is a block diagram showing the flow of audio information in the system during audio input processing.

FIG. 6 is a block diagram showing the flow of audio information in the system during audio output processing.

FIG. 7 is a diagram of the structure of the index database for selective access to the system.

FIG. 8 is a drawing of the wireless remote control device for operating the system.

FIG. 9 is a flow chart describing one possible means for entering CD songs into the system.

## DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring now to FIG. 1, the audio entertainment system 5 is housed in a rectangular shaped chassis of the typical size and appearance found in home entertainment centers. For example, it may have a width of about 17 inches, a depth of about 10 inches and a height of about 6 inches. The front panel is shown having connections 12 for analog audio input of the type commonly known as "RCA jacks". Alphanumeric display 11 is used to indicate the status of the system, and to provide numerical and textual information about recordings contained in the system which may be selected, or are about to be played. It may conveniently be an alphanumeric display of 4 lines, each containing 40 characters. As an example of content, it could display as follows:

```
> playing < 2:01 of 4:12    12:34:56 pm
Rush / Power Windows / Grand Designs
> Rush / Power Windows / The Big Money
Pet Shop Boys / FM (live) / It's a sin
```

the top line indicating the status and information about the currently playing song, and the remaining three lines containing information about other songs to be played or which could be played. Display 11 may be, for example, the DMC-40457NY-LY-B by Optrex America, Inc., Duluth, GA.

Headphone jack **14** is provided to allow for the connection of standard stereo headphones (tiny speakers placed close to or in the ear). CD reading system **15**, with associated CD eject button **16** provides the ability to load CD audio recordings directly into the system, without external connections. The built-in CD reading system has a direct digital interface to the CD, so as to preserve exact digital representation of the audio information recorded on the CD, thus avoiding a conversion from digital to audio and back again to digital. This CD reading system is advantageously able to load data at faster than real-time. This may be, for example, the Plextor model PX-12TSi audio CD reader, from Plextor Corp. of Miami, FL, which currently can read audio CDs at speeds more than four times faster than real time.

Infrared (or other wireless) sensor **17** is a plastic window which is relatively transparent to infrared light, behind which is the photodetector used to receive infrared signals from the wireless remote control device. Alternatively, this could be an RF antenna if radio communications were used.

Power switch **21** is used to turn the AC electrical power to the system on and off. Light emitting diode **20** indicates the power status by glowing when power is on. Mute button **19** causes the system to mute its audio output; mute status light **18** indicates that the system is in the muted state; pressing the mute button again returns it to audible state.

Chassis **10** contains the electrical components to operate the audio entertainment system, including the control processor, the digital compression and decompression systems, which may use one or more auxiliary DSP processors, analog and digital audio input and audio output circuitry, and connections to the various controls and connection ports of the system. In particular, the chassis contains hard drive, herein defined as any non-volatile re-writable random-access storage system, which may be magnetic, optical, magneto-optical, holographic, electrical (such as silicon), etc.

Turning now to FIG. 2, the rear panel **25** of the audio chassis is presented. Analog inputs **30** are provided for standard audio equipment; phonograph inputs **31** are for connection to a

phonograph input. Analog audio outputs **32** are for interfacing to standard audio equipment, such as an amplifier which would ultimately connect to speakers. Digital inputs **34** are provided for devices which supply audio data in digital form. Similarly, digital output **35** is provided for interfacing output audio information to devices which accept it in digital form. This may be in the form of a fiber-optic connection. Optional computer interface **36** is shown as a DB-25 connector but may be of a variety of connectors, electrical and protocols, such as serial, parallel, SCSI, Ethernet, USB (Universal Serial Bus), IEEE 1394, or other desired digital computer interface. Optional port **37** allows for the connection of a standard PC keyboard to the system, for rapid entry of alphanumeric data, particularly useful when setting up the system for the first time, or when loading new audio information. The computer is not only operable to present a user interface which is useable in controlling the user interface control system, but can act as a conduit for audio information either over a network or into and out of the computer itself. Power connector **38** is used to connect to AC power.

The number, exact connector type, and layout of the rear panel can have a variety of forms to suit various applications. It may also be convenient in some instances to have more, or fewer of these connections on the front of the chassis.

A simplified block diagram of the internal operation of the system is shown in FIG. 3. Starting in the center of the figure is data bus **59** which is used to allow communication amongst the various components. Although shown as a single data bus, it may be composed of a set of busses which can be switched together in various ways, thus allowing input, output and processing flexibility. Main processing unit comprised in the chassis **60** contains the control logic and computational elements of the system, and may include a CPU, microcontroller, DSP chip, programmable gate array logic, etc. The main processing unit comprised in the chassis may be, for example a 486 chip from Intel Corp. of Santa Clara, California. The DSP chip, if not implemented in the main processing unit, may be for example, a TI 320C30 from Texas Instruments of Dallas, Texas. The analog output can be done with either a digital-to-analog chip, or any standard PC "sound card" of sufficient fidelity (approximately CD quality), such as the AudioPCI™ S5016 from ENSONIQ,

Malvern, PA. This card also can perform the analog input function, as could an analog-to-digital chip. Control and switching logic are of standard design, with care to assure that analog switching components do not compromise signal quality. Item **50** is the interface to the alphanumeric display **11** shown on the front panel in FIG. **1**. Hard drive(s) **51** are used to store audio recordings in compressed form, indexes and relational database information to provide access to them, and buffer real-time data, which may be in compressed or uncompressed form. In addition, disk space management information will be stored and maintained such as the location of the various files, bad block list, and free block list.

CD reading system **52** contains the electrical components to read audio compact discs in digital form; the front opening allowing the insertion of CDs into this chassis is shown in FIG. **1** as item **15**. Circuitry for receiving remote control signals **54** which may be of the infrared or radio transmission form is connected so as to permit remote control of the system. Front panel buttons and indicator light-emitting-diodes (LEDs) **57** are also connected to the system.

To the right side of FIG. **3** are shown the various input and output interfaces, not all of which may be present. Analog audio input(s) **61** may come from a microphone or various audio units, such as CD players, tape payers, etc. Analog audio output(s) **62** may connect to an amplifier, headphones, or a separate recording unit. Digital audio input(s) **66**, perhaps optical, such as from a microphone or various audio units, such as CD players, tape players, etc. Digital audio output(s) **68**, perhaps optical, may go to an amplifier, headphones, or a separate recording unit. An optional computer interface **69** will not only allow connection to a computer, but also downloading and uploading digital audio information to a local computer and to any other place connected to the computer, including local and wide area networks, such as the Internet.

FIG. **4** is one possible layout of the major elements contained inside the audio entertainment chassis. Compact Disc reading system **160** is used to load audio data contained on CDs into the system. It should operate at least at standard audio rate reading, in accordance with the



alphabetic information. Numeric data can also be entered, as shown. Standard audio controls for play, stop, rewind and so forth are in the upper right section of the device. Special functions can be programmed into function keys F1, F2, F3, F4 as is known in the art, for example F1 might play background music, F2 jazz, and so forth. Optical transmitter **140** is used to transmit commands to the sensor located on the main chassis. Alternatively, a radio-frequency transmission could be used.

A flow chart describing one possible means for entering CD songs into the system is shown in FIG. 9. A CD is just one of the many possible ways to input music, which has the advantage never leaving digital form.

Items which optimize this system for home or even portable use include the CD reading system, the audio inputs and outputs which are compatible with home audio equipment, the mute button, the remote, and the physical size and appearance. The system has a size and appearance which approximates a size of standard audio equipment

The system uses standard MPEG formats for storage of compressed digital audio. One such format is described in ISO 11172-3. This facilitates electronic commerce shopping for audio products over such wide-area-networks as the Internet. Even dial-up modem connections of the "56K" variety can send a 3-minute audio recording at 12:1 compression in slightly over 6 minutes. Rather than driving to the store to buy a new CD, you can pick up the song you want right over the Internet. With higher speed data communications systems such as ISDN (Integrated Services Digital Network), DSL (digital subscriber loop), satellite link, cable modems, and fiber to the curb, etc. this access will improve. But it is an important to utilize a standard format to avoid the quality reduction problems caused by iterative digital compression and decompression.

The digital signal processor (DSP) may be used to facilitate the rapid loading of audio recordings onto the storage system. Except for pre-compressed audio, the audio information will need to be compressed, which is a computationally intensive operation. For real-time

recording, e.g. of a broadcast audio signal, it is mandatory that the system be able to compress audio at least real-time rates. Although some buffering can be provided, a length real-time broadcast (a Verdi Opera, for example) may well exceed the system's ability to store uncompressed data. With real-time digital compression (or faster), only the compressed data need be stored in the storage system.

Digital signal processing power would allow digital compression and decompression (audio playing) simultaneously. Since this invention is designed to be the primary audio unit, it would be beneficial to always have the ability to play music, even during compression.

Another use of the digital signal processor (DSP) is in the loading of data from non-compressed digital sources, of which compact discs are an important example. To be convenient to the user, this process should go as quickly as possible. The user may select which songs from the CD (or other source) to load, thus the system does not even need to process non-selected songs, except to perhaps skip past them on media such as tape which can only be processed sequentially. For CDs, only those songs selected are read into the system. For media where a number of songs are selected, it is desirable, especially for CDs, to be able to process them at faster than real-time. The Plextor audio CD reader, for example, has a model which can operate at what is known as "12X". This can read 2 channels (for stereo) at least four times as fast as real-time. Without a fast computational system, it would be impossible to read, compress, and store audio data at over four times real-time, which would be desirable for the user. It is expected that CD reading devices will shortly operate at considerably faster speeds, for example 20X or even 24X. A fast DSP with efficiently programmed compression algorithms is desired to utilize this capability.

As an example to illustrate the effectiveness of the system, a user with 1000 items of various media could store audio recordings as follows. For convenience of presentation, we will talk of CDs, but understand that they may be any combination of phonograph records, CDs, tape cassettes or other forms of audio information, including real-time sources. Of the 1000 items, select 20 3-minute songs from the first 100, 10 from the next 500, and 2 from the last

400. This is a total of  $200+5000+800$ , or 6000 3-minute songs. This is 18,000 minutes or 1,080,000 seconds. Assuming stereo recordings at 44.1kHz, 1.41 megabits are required per second of audio data; with a compression of 12:1, this would reduce to 117.6 kilobits or 14.7kBytes per second of data. The entire data would require approximately 16GB (gigabytes or billions of bytes) of storage.

The physical size, appearance, packaging, ease of use, and feature set of the system is important. In particular, the user interface system should be compatible with that which is commonly found in high quality audio gear. It comprises user inputs, such as buttons, knobs, touchscreen inputs, and switches, as well as user output displays, capable of displaying information to the user. Another item of import, and frequently ignored by computer-based multimedia systems, is the need for acoustic noise shielding from the noise-generating components of the system. The objective should be to make the system as a whole acoustically quiet. Rotating media drives and cooling fans should be selected for their quietness as well as their large capacity, and the entire chassis acoustically shielded as well as practicable.

An optional addition to the user interface is the ability of voice control, by using voice commands as input to the system. Speech recognition technology has recently evolved to the point of making this practical. Any input which could be done with the remote could also, perhaps more easily, be done with voice commands.

A remote control device is provided to make operating the system convenient for the home user. This remote comprises a set of buttons that allow selection of individual or predetermined groups of music, including random play. It will allow for a full alphabet as well as numeric input. It may also be used to control the addition of new music to the system from one or more of the audio inputs. The remote, although not shown in the figures, could also be used to display output from the system in addition to or instead of the alphanumeric display on the chassis.



The ability to capture real-time audio will now be described. To facilitate this operation, it may be desirable to have the system continually monitor, compress, and record up to a few minutes of musical data at all times. The user interface could be used to perform a variety of related tasks. By continuously storing this previously received audio input, this would allow a user, for example, to recognize a song that he or she would like to record, and initiate recording without losing the first few seconds of the song. After the song is completed and recording stopped, it would be desirable to review and manipulate the audio information, then save the new version to the storage system. For example, the song can be reviewed to determine the exact portion of the prerecorded audio information to be stored, as well as the precise determination of the end of the recording. In addition, it may be desirable to add a fade-in and fade-out at the beginning and end of the song, respectively, to avoid sharp transitions. It may be desirable to record radio broadcasts, so an AM/FM radio tuner could be integrated into the unit.

The system can be pre-programmed to record real-time audio information at future, scheduled dates and times as is typically provided on videocassette recorders.

A master song directory stores characteristic information about each song, such as composer, orchestra, soloist as well as the location of the music itself. For example, the disk may be segmented into a number of equal sized blocks, e.g. 4096 bytes each, and a linked list of such blocks can be used to access the file. This linked list, and other information necessary to managing the allocation of the disk system, such as the free block list, as well as the location of the various indexes, can be stored in a physical disk allocation record, also stored on disk.

A database of information is provided to enable rapid access to desired audio information from a variety of points of view. In its simplest form, this is simply contents directory, or a set of indexes, followed by a list of the songs pertaining to that index. Alternatively, a network or relational database may be used to provide many-to-many access for COMPOSER (e.g. Mozart), ORCHESTRA (e.g., London Symphony, Starland Vocal Band), CONDUCTOR (e.g., Neville Mariner), SOLOIST (e.g., Jean-Pierre Rampal, John Denver),

CLASS OF MUSIC (rock, jazz, orchestral, 17<sup>th</sup> century strings, and the like), DISTRIBUTOR (e.g., MCA records, FM radio station KMFA). To facilitate the use with popular music, indexes and/or relational table can be made with terms familiar to that genre, such as SONGWRITER, ARTIST or PERFORMER, and BACKUP GROUP. In fact, any set of indexes or relational table may be used as desired by the user, for whatever purpose.

This system can be used advantageously in conjunction with a centralized library of pre-compressed audio recordings. The network input (and output) can be a local area network such as Ethernet, or a wide area network such as the Internet. Due to the use of pre-compressed digital audio, using standards which the system can play without re-processing, audio recordings can be downloaded from the central library server to the system in near-real-time. With 56K modems, for example, with effective data rates of over 50,000 bits per second, the download takes approximately twice real-time. With faster communications systems, for example DSL (digital subscriber loop), songs can be downloaded in just a few seconds. To illustrate this, assume a DSL link operating at 1 megabit per second. 16-bit digital audio compressed 12:1 takes 117.6kbits per second of music. This is 8.5 times faster than real-time, so a 3-minute song would take only 21 seconds to download.

Even with ordinary telephone lines operating with 56K modems, remote distribution of music via networks is quite practical. Purchasing could easily be performed over networks, as well. And there is tremendous benefit in being able to provide the user the ability to acquire the songs he wants immediately.

It is important that the data on the central library be compressed, so as to speed up the transmission process by, for example, a factor of 12. However, it is desirable that it be digitally compressed in a format that can be directly used by the playback system, so that an extra compress/decompress step is avoided. Since these high compression-ratio audio compression techniques are not lossless, each compression step degrades the sound quality. And two stages using a different technique can produce substantial degradation, even if each technique when used alone may be quite good.

## CLAIMS

1. An audio entertainment system for storing and playing audio information, comprising:

a chassis for housing electrical components;

at least one audio input comprised in or located on the chassis for receiving input audio information;

at least one audio output comprised in or located on the chassis for generating signals;

a non-removable, non-volatile random-access storage system comprised in the chassis for storing audio information, wherein the non-volatile random-access storage system is rewritable, wherein the non-volatile random-access storage system is operable to receive and store the input audio information from the at least one audio input, wherein the non-volatile random-access storage system is operable to provide output audio information to the at least one audio output, wherein the non-volatile random-access storage system is substantially permanently affixed inside the chassis;

a user interface system for controlling the audio entertainment system; and

a user interface control system coupled to receive user input from the user interface system, wherein the user interface control system is coupled to one or more of the non-volatile random-access storage system, the at least one audio input, and the at least one audio output, wherein the user interface control system operates to control one or more of the non-volatile random-access storage system, the at least one audio input, and the at least one audio output in response to user input received from the user interface system;

wherein the non-volatile random-access storage system is operable to store audio information corresponding to a plurality of musical pieces;

wherein the user interface system is adapted to receive user input to select one or more musical pieces for audio presentation;

wherein the user interface control system is operable to receive said user input and control the non-volatile random-access storage system to provide corresponding output audio

information stored on the non-volatile random-access storage system to the at least one audio output for audio presentation.

2. The audio entertainment system of claim 1, wherein the chassis has a size and appearance which approximates a size of standard audio equipment;

wherein the user interface system has an appearance which approximates an interface of standard audio equipment.

3. The audio entertainment system of claim 1, wherein the non-volatile random-access storage system is operable to store a plurality of contents directories, wherein each of said contents directories identifies locations of a selected plurality of musical pieces according to common characteristics of said selected plurality of musical pieces;

wherein the user interface control system uses the contents directory to selectively access ones of said plurality of musical pieces.

4. The audio entertainment system of claim 1, further comprising:

a media reading system comprised in the chassis and coupled to the at least one input, wherein the media reading system is operable to receive at least one audio storage media, wherein the audio storage media stores audio information, wherein the media reading system is operable to read at least a portion of said audio information from the audio storage media and provide input audio information to the at least one audio input.

5. The audio entertainment system of claim 4, wherein the media reading system comprises a CD reading system;

wherein the audio storage media comprises a CD.

6. The audio entertainment system of claim 4, wherein the media reading system is operable at faster than real-time.

7. The audio entertainment system of claim 4, wherein the non-volatile random-access storage system is operable to store a plurality of contents directories, wherein each of said contents directories identifies locations of a selected plurality of musical pieces according to common characteristics of said selected plurality of musical pieces;

wherein the user interface control system uses the contents directory to selectively access ones of said plurality of musical pieces;

wherein the non-volatile random-access storage system stores a database directory comprising information regarding contents of existing audio storage media;

wherein the user interface control system is operable to use said database directory to recognize audio storage media which are inserted into said media reading system;

wherein the user interface control system is operable to automatically add information to said contents directory from said database directory in response to recognizing an audio storage media.

8. The audio entertainment system of claim 1, wherein the at least one audio input includes a network input adapted for receiving input audio information from a network.

9. The audio entertainment system of claim 8, wherein the user interface system is adapted to receive user input comprising selection information for selecting one or more musical pieces over the network;

wherein the user interface control system is operable to receive said user input comprising selection information and control the network input and the non-volatile random-access storage system to receive said one or more musical pieces and store said one or more musical pieces on the non-volatile random-access storage system.

10. The audio entertainment system of claim 8, wherein the user interface system is adapted to receive user input comprising purchasing information for purchasing one or more musical pieces over the network;

wherein the user interface control system is operable to receive said user input comprising purchasing information and control the network input and the non-volatile random-

access storage system to receive said one or more musical pieces and store said one or more musical pieces on the non-volatile random-access storage system.

11. The audio entertainment system of claim 1, further comprising:

a digital compression system coupled to the at least one audio input and to the non-volatile random-access storage system, wherein the digital compression system is operable to receive the input audio information from the at least one audio input and operates to compress the input audio information to produce compressed audio information, wherein the digital compression system provides the compressed audio information to the non-volatile random-access storage system, wherein the non-volatile random-access storage system is operable to store the compressed audio information;

a digital decompression system coupled to the non-volatile random-access storage system and to the at least one audio output, wherein the digital decompression system is operable to receive the compressed audio information from the non-volatile random-access storage system and operates to decompress the compressed audio information to produce output audio information, wherein the digital decompression system provides the output audio information to the at least one audio output.

12. The audio entertainment system of claim 11, further comprising:

a processing unit comprised in the chassis and coupled to the non-volatile random-access storage system, the at least one audio input, and the at least one audio output, wherein the processing unit comprises one or more of the compression system, the decompression system, and the audio entertainment control system.

13. The audio entertainment system of claim 12, wherein the processing unit comprises or implements the compression system, the decompression system, and the audio entertainment control system.

14. The audio entertainment system of claim 11, wherein the digital compression system is designed to preserve approximately CD quality for multi-channel recordings as perceived by an average listener.

15. The audio entertainment system of claim 11, wherein the digital compression system operates to compress the input audio information with at least 4X as fast as real-time compression performance.

16. The audio entertainment system of claim 11, wherein the digital compression system and the digital decompression system operate simultaneously.

17. The audio entertainment system of claim 1,  
wherein the user interface system comprises one or more user output displays comprised on the chassis for displaying information to the user.

18. The audio entertainment system of claim 1,  
wherein the user interface system comprises one or more user inputs comprised on the chassis for receiving user input.

19. The audio entertainment system of claim 18, wherein said one or more user inputs comprised on the chassis comprise one or more of: buttons, knobs, touchscreen inputs, and switches.

20. The audio entertainment system of claim 1,  
wherein the user interface system comprises a remote control device, wherein the remote control device is in wireless communication with the user interface control system for providing input to the user interface control system.

21. The audio entertainment system of claim 20, wherein the remote control device is in wireless communication with the user interface control system for receiving and displaying output from the user interface control system.

22. The audio entertainment system of claim 1,

wherein the user interface system comprises:

one or more user inputs comprised on the chassis for receiving user input; and

a remote control device, wherein the remote control device is in wireless communication with the user interface control system for providing input to the user interface control system.

23. The audio entertainment system of claim 1, wherein the at least one audio output is adapted to couple to speakers.

24. The audio entertainment system of claim 1, wherein the at least one audio output is adapted to couple through an amplifier to one or more speakers.

25. The audio entertainment system of claim 1, wherein the at least one audio input includes a network input adapted for coupling to a computing device, wherein the network input is adapted to receive audio input information from a network.

26. The audio entertainment system of claim 1, further comprising:

a computer;

wherein the at least one audio input includes an input/output connector adapted for coupling to the computer, wherein the input/output connector is adapted to generate / receive control information with the computer;

wherein the computer is operable to present a user interface which is useable in controlling the user interface control system.



27. The audio entertainment system of claim 1, wherein the at least one audio input includes an input/output connector adapted for coupling to a computer, wherein the input/output connector is adapted to generate / receive control information with the computer.

28. The audio entertainment system of claim 1, wherein the non-volatile random-access storage system comprises a magnetic storage medium.

29. The audio entertainment system of claim 1, wherein the non-volatile random-access storage system is operable to store a contents directory which identifies locations of said plurality of musical pieces;

wherein the user interface control system uses the contents directory to selectively access ones of said plurality of musical pieces.

30. The audio entertainment system of claim 1, wherein the non-volatile random-access storage system is operable to store one or more play lists, wherein each of said play lists identifies locations of a plurality of said musical pieces.

31. The audio entertainment system of claim 1, wherein the user interface system is operable to receive voice commands from a user to select one or more musical pieces for audio presentation;

wherein the user interface system is operable to recognize said received voice commands and control the non-volatile random-access storage system to provide corresponding output audio information stored on the non-volatile random-access storage system to the at least one audio output for audio presentation.

32. The audio entertainment system of claim 1, wherein the at least one audio output includes a network output adapted for generating output audio information to a network.

33. The audio entertainment system of claim 1, wherein the audio entertainment system is acoustically quiet.

34. The audio entertainment system of claim 1, wherein the user can review the audio information stored on the non-volatile random-access storage system, manipulate said audio information, and store said manipulated audio information back onto the non-volatile random-access storage system.

35. The audio entertainment system of claim 1, wherein in a first mode the audio entertainment system is operable to continuously store previously received audio input, wherein the user interface system is operable to receive user input to select at least a portion of said previously received and stored audio input.

36. An audio entertainment system for storing and playing audio information, comprising:

- a chassis for housing electrical components;
- at least one audio input comprised in or located on the chassis for receiving input audio information;
- at least one audio output comprised in or located on the chassis for generating signals;
- a non-volatile random-access storage system comprised in the chassis for storing audio information, wherein the non-volatile random-access storage system is rewritable;
- a digital compression system coupled to the at least one audio input and to the non-volatile random-access storage system, wherein the digital compression system is operable to receive the input audio information from the at least one audio input and operates to compress the input audio information to produce compressed audio information, wherein the digital compression system provides the compressed audio information to the non-volatile random-access storage system, wherein the non-volatile random-access storage system is operable to store the compressed audio information;
- a digital decompression system coupled to the non-volatile random-access storage system and to the at least one audio output, wherein the digital decompression system is operable to receive the compressed audio information from the non-volatile random-access storage system and operates to decompress the compressed audio information to produce output

audio information, wherein the digital decompression system provides the output audio information to the at least one audio output;

a user interface system for controlling the audio entertainment system; and

a user interface control system coupled to receive user input from the user interface system. wherein the user interface control system is coupled to one or more of the non-volatile random-access storage system, the digital compression system, the digital decompression system, the at least one audio input, and the at least one audio output, wherein the user interface control system operates to control one or more of the non-volatile random-access storage system, the digital compression system, the digital decompression system, the at least one audio input, and the at least one audio output in response to user input received from the user interface system.

094799-09499

## MUSIC SYSTEM

### Abstract

A customized music recording, selection and playback system providing a convenient means for storing hundreds to thousands of songs on a single system, which provides immediate access to any of the songs in a convenient, easy to operate manner. This audio entertainment system can store audio sound recordings, or even segments of a sound recording selectively, not merely store collections of existing media. The system can use any audio source, digital or analog, for the audio content. The system replaces boxes of phonograph records, boxes of cassette tapes, cases of CDs, and a plurality of musical pieces (or “songs”), by storing all audio information in one chassis. The system is continuously reconfigurable; songs can be added or removed at any time. The system efficiently stores audio information, using a digital audio compression system that preserves near compact disc quality but achieves a large reduction in the size of the stored digital audio information. The compression system is particularly effective for stereo recordings, i.e. two channels of audio corresponding to the conventional dual microphones used in music recording. Thus, many more songs could be stored on the system at a given time.

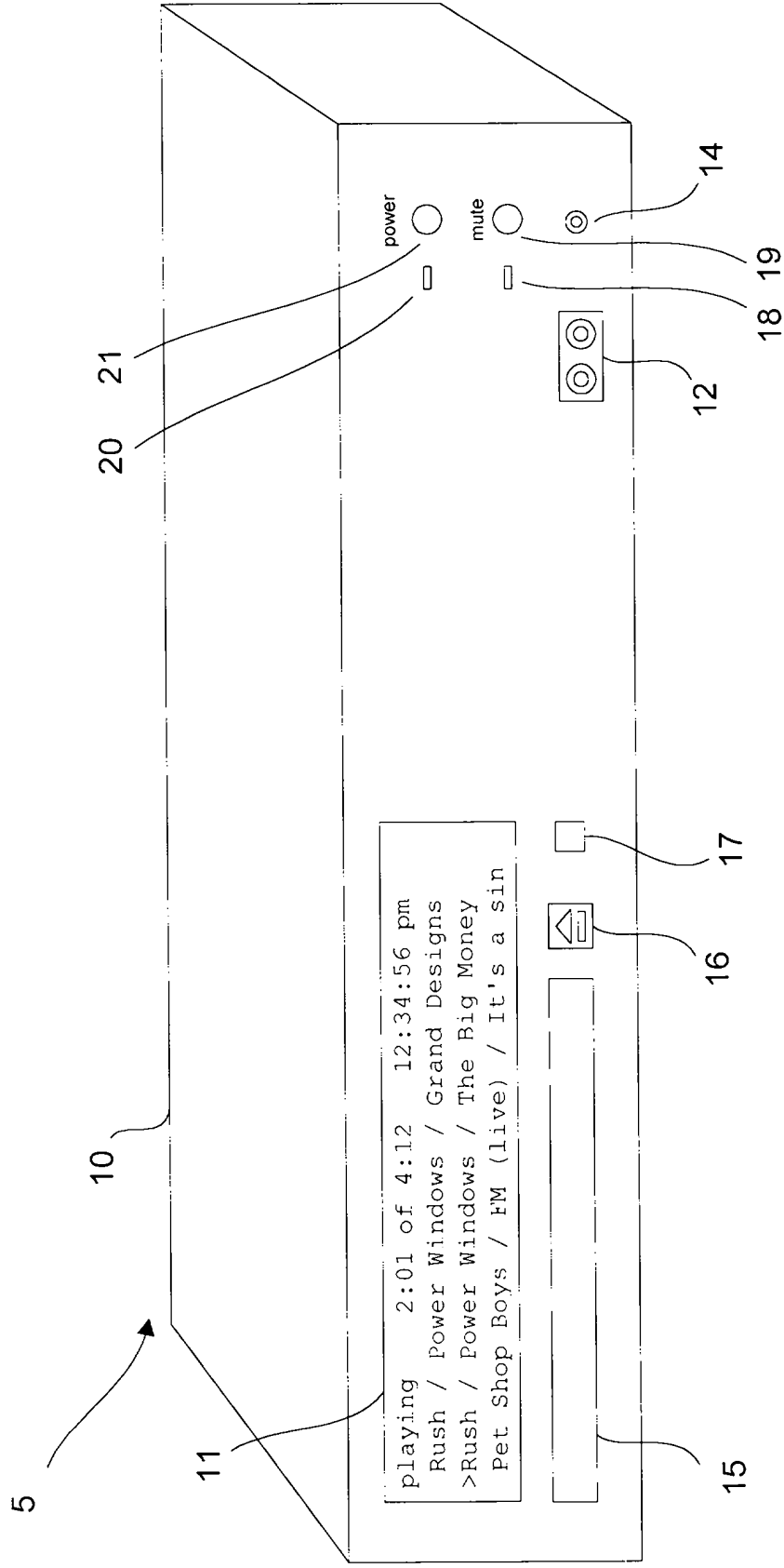


Fig. 1

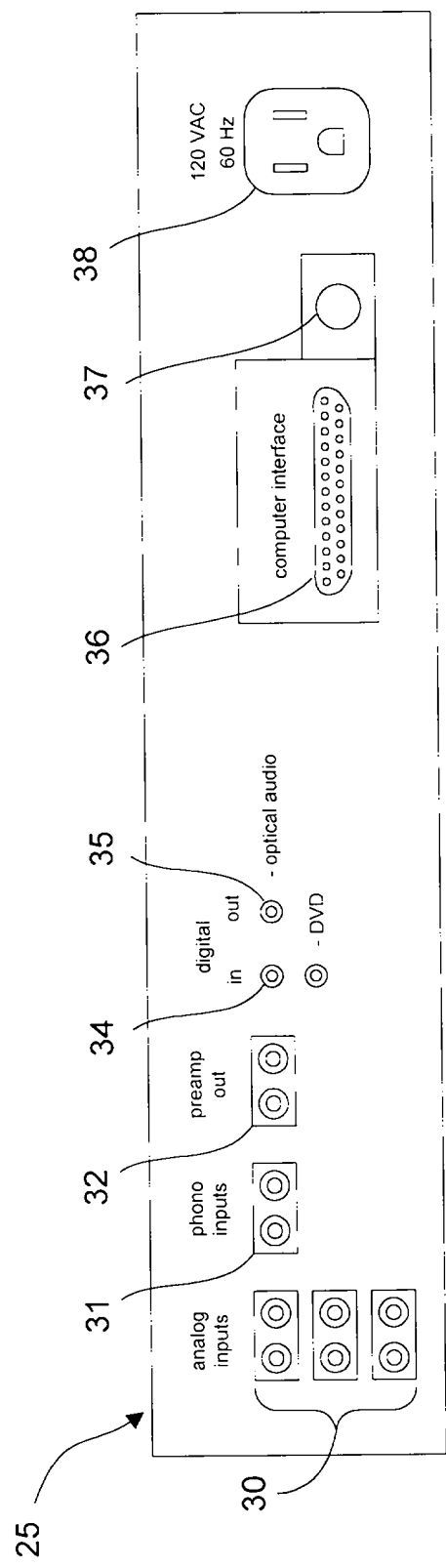


Fig. 2

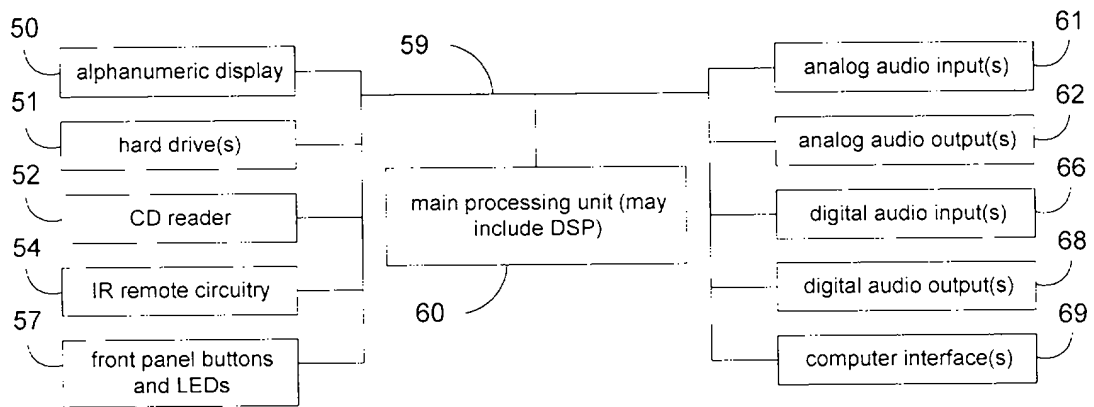


Fig. 3

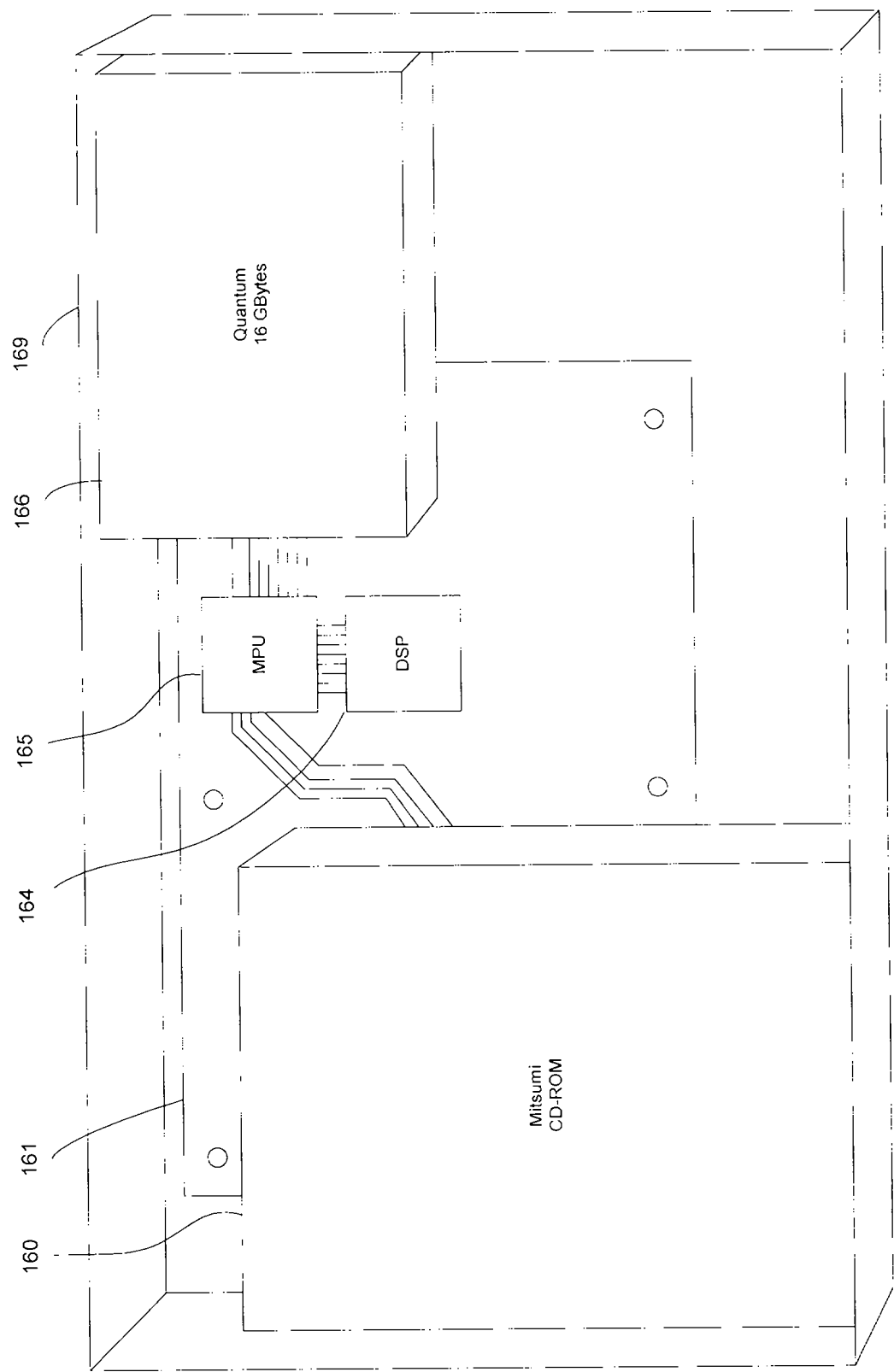


Fig. 4



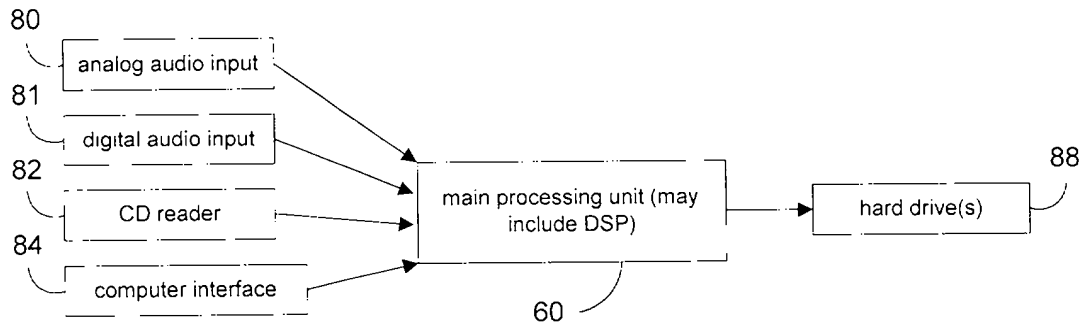


Fig. 5

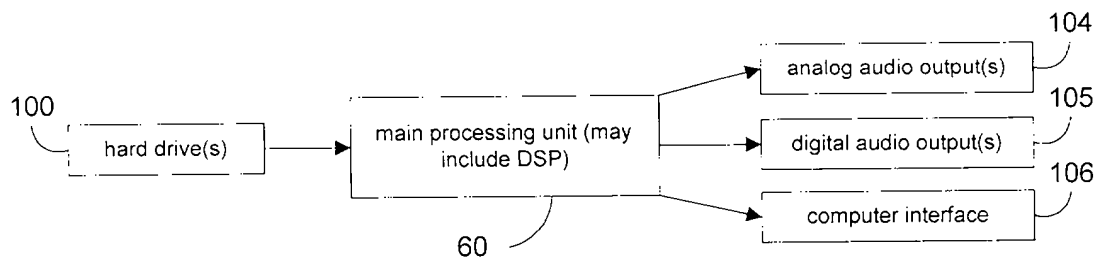


Fig. 6

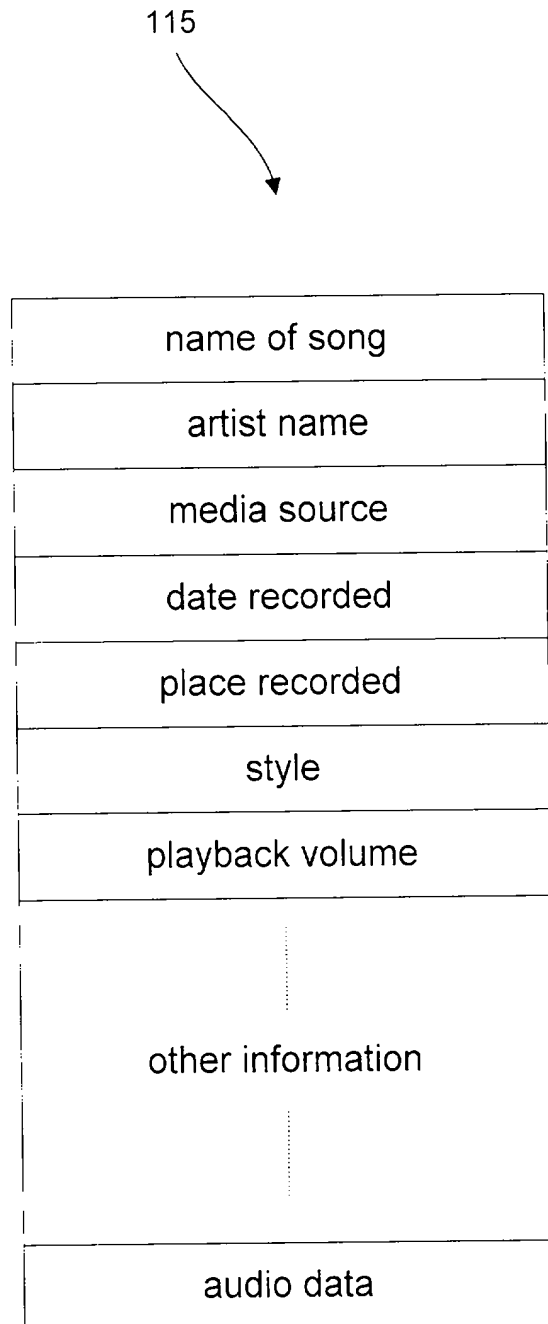


Fig. 7

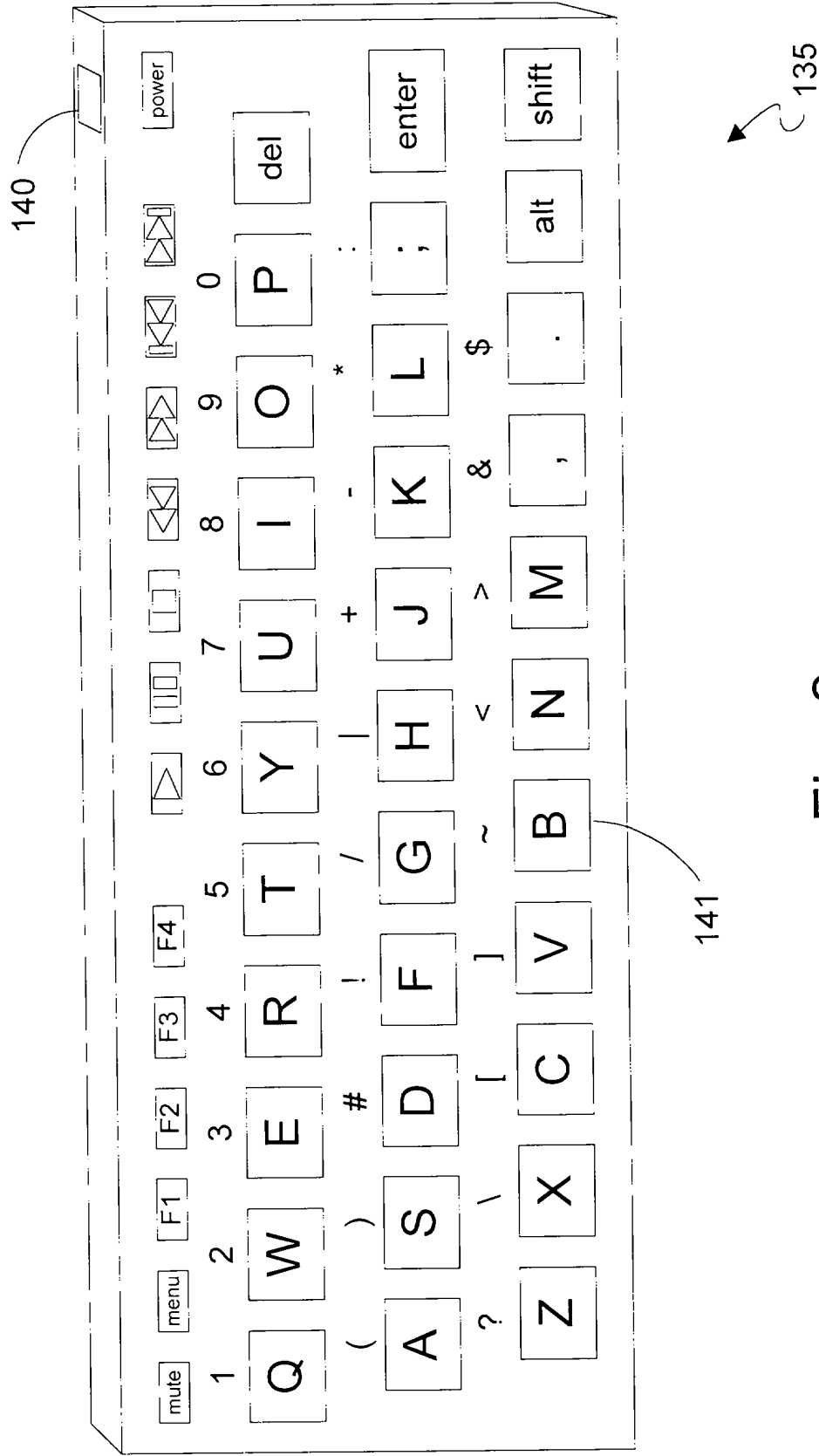


Fig. 8

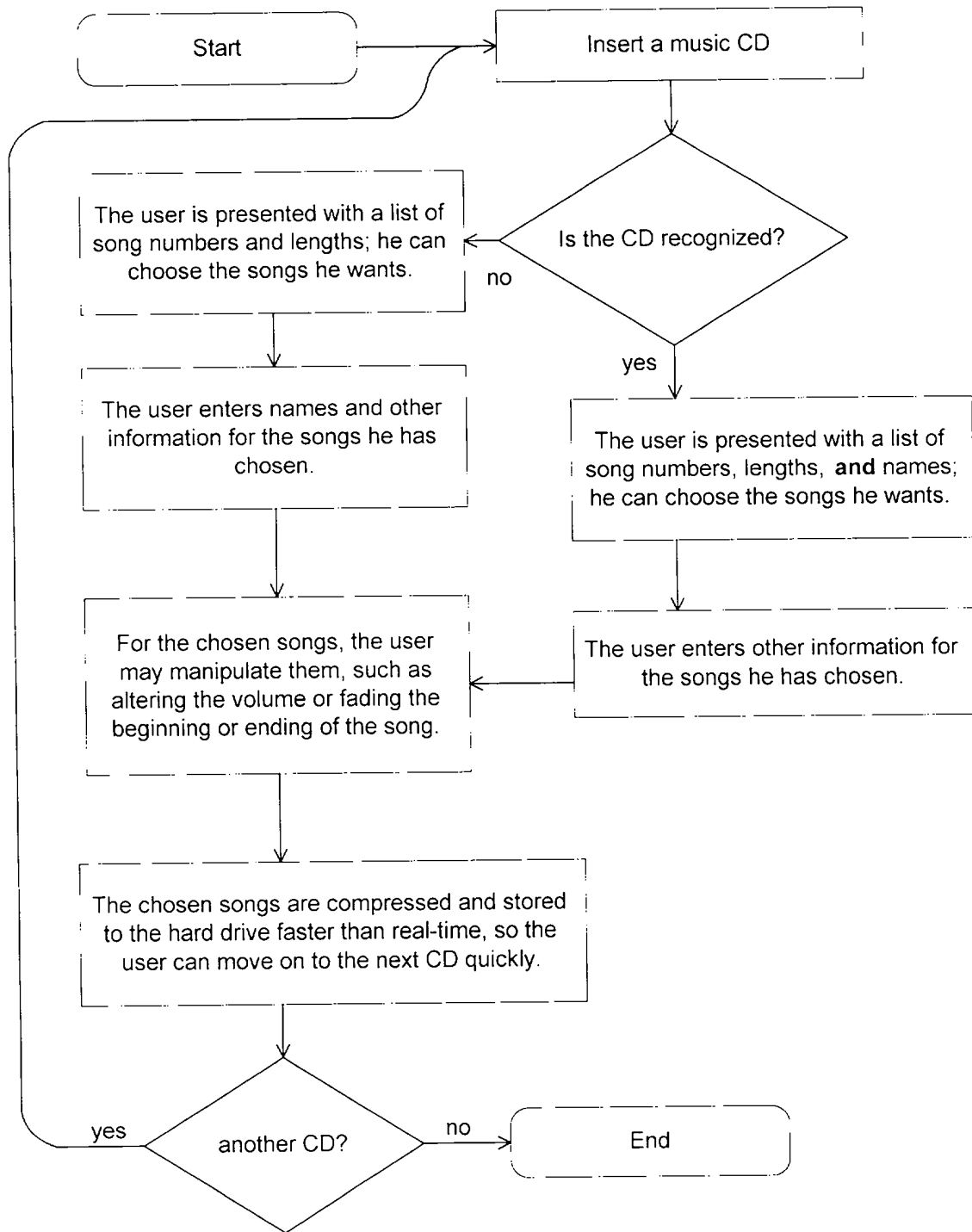


Fig. 9

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PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

**Attorney Docket Number**

**First Named Inventor**

MIHURA

**COMPLETE IF KNOWN**

**Application Number**

/

**Filing Date**

**Group Art Unit**

**Examiner Name**

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My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MUSIC SYSTEM

the specification of which

(Title of the Invention)

☒ is attached hereto  
OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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Name	Bruce Mihura				
Address	6302 Colina Lane				
Address					
City	Austin	State	TX	ZIP	78759
Country	USA	Telephone	512 918-2549	Fax	

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Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

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Bruce		Mihura	
Inventor's Signature	Bruce Mihura	Date	7-24-98
Residence: City	Austin	State	TX
		Country	USA
Post Office Address	6302 Colina Lane		
Post Office Address			
City	Austin	State	TX
		ZIP	78759
		Country	USA

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